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### NOTICE OF ALLOWANCE AND FEE(S) DUE

27777 7590 09/30/2008

PHILIP S. JOHNSON JOHNSON & JOHNSON ONE JOHNSON & JOHNSON PLAZA NEW BRUNSWICK, NJ 08933-7003 EXAMINER DOUGLAS, STEVEN O

PAPER NUMBER

ART UNIT

DATE MAILED: 09/30/2008

| APPLICATION NO.                                | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|------------------------------------------------|-------------|----------------------|---------------------|------------------|--|
| 09/693,621                                     | 10/20/2000  | Eitan T. Wiener      | END0691/2640/0H058  | 8869             |  |
| TITLE OF INVENTION: ULTRASONIC SURGICAL SYSTEM |             |                      |                     |                  |  |

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | NO           | \$1440        | \$0                 | \$0                  | \$1440           | 12/30/2008 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

| appropriate. All further<br>indicated unless correcte<br>maintenance fee notifical                                                                                    | correspondence includir<br>ed below or directed oth                                                                                                                              | or transmitting the 1880<br>ig the Patent, advance of<br>herwise in Block 1, by (                                              | rders and notification of r<br>a) specifying a new corre                                                                                                                                                                                                                        | naintenance fees wil<br>pondence address; a                                                                                                                                                                                                                                                           | ll be mailed to the curren<br>ind/or (b) indicating a sep                                                                                    | snould be completed where<br>t correspondence address as<br>parate "FEE ADDRESS" for                                                                    |  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| CURRENT CORRESPONDENCE ADDRESS (Nose: Use Block 1 for any change of address)                                                                                          |                                                                                                                                                                                  |                                                                                                                                |                                                                                                                                                                                                                                                                                 | Note: A certificate of mailing can only be used for domestic mailings of the<br>Fe(s) Transmittal. This certificate cannot be used for any other accompanying<br>papers. Each additional paper, such as an assignment or formal drawing, must<br>have its own certificate of mailing or transmission. |                                                                                                                                              |                                                                                                                                                         |  |
|                                                                                                                                                                       | OHNSON<br>I & JOHNSON PLA                                                                                                                                                        | AZA                                                                                                                            | Lha                                                                                                                                                                                                                                                                             | Certi                                                                                                                                                                                                                                                                                                 | ficate of Mailing or Tran                                                                                                                    |                                                                                                                                                         |  |
| NEW BRUNSW                                                                                                                                                            | ICK, NJ 08933-700                                                                                                                                                                | )3                                                                                                                             |                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                       |                                                                                                                                              | (Depositor's name)                                                                                                                                      |  |
|                                                                                                                                                                       |                                                                                                                                                                                  |                                                                                                                                | _                                                                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                       |                                                                                                                                              | (Signature)                                                                                                                                             |  |
|                                                                                                                                                                       |                                                                                                                                                                                  |                                                                                                                                |                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                       |                                                                                                                                              | (Date)                                                                                                                                                  |  |
| APPLICATION NO.                                                                                                                                                       | FILING DATE                                                                                                                                                                      |                                                                                                                                | FIRST NAMED INVENTOR                                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                       | ATTORNEY DOCKET NO. CONFIRMATION :                                                                                                           |                                                                                                                                                         |  |
| 09/693,621<br>TITLE OF INVENTION                                                                                                                                      | 10/20/2000<br>: ULTRASONIC SURG                                                                                                                                                  | ICAL SYSTEM                                                                                                                    | Eitan T. Wiener                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                       | END0691/2640/0H058                                                                                                                           | 8869                                                                                                                                                    |  |
| APPLN, TYPE                                                                                                                                                           | SMALL ENTITY                                                                                                                                                                     | ISSUE FEE DUE                                                                                                                  | PUBLICATION FEE DUE                                                                                                                                                                                                                                                             | PREV. PAID ISSUE                                                                                                                                                                                                                                                                                      | FEE TOTAL FEE(S) DUI                                                                                                                         | E DATE DUE                                                                                                                                              |  |
| nonprovisional                                                                                                                                                        | NO                                                                                                                                                                               | \$1440                                                                                                                         | 80                                                                                                                                                                                                                                                                              | \$0 \$1440                                                                                                                                                                                                                                                                                            |                                                                                                                                              | 12/30/2008                                                                                                                                              |  |
| EXAM                                                                                                                                                                  | INER                                                                                                                                                                             | ART UNIT                                                                                                                       | CLASS-SUBCLASS                                                                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                                                       |                                                                                                                                              |                                                                                                                                                         |  |
| DOUGLAS,                                                                                                                                                              | DOUGLAS, STEVEN O 3771                                                                                                                                                           |                                                                                                                                |                                                                                                                                                                                                                                                                                 | •                                                                                                                                                                                                                                                                                                     |                                                                                                                                              |                                                                                                                                                         |  |
| "Fee Address" ind<br>PTO/SB/47; Rev 03-0<br>Number is required.  3. ASSIGNEE NAME A                                                                                   | ondence address (or Cha<br>3/122) attached.<br>ication (or "Fee Address<br>12 or more recent) attach<br>ND RESIDENCE DAT/<br>less an assignee is ident<br>h in 37 CFR 3.11. Comp | nge of Correspondence  Indication form ed. Use of a Customer  A TO BE PRINTED ON                                               | 2. For printing on the p (1) the names of up to or agents OR, alternati (2) the name of a singl registered attorney or 1 2 registered patent atto listed, no name will be THE PATENT (print or ty) data will appear on the p TT a substitute for filing an (B) RESIDENCE: (CITY | 3 registered patent<br>vely,<br>e firm (having as a r<br>agent) and the names<br>rneys or agents. If no<br>printed.                                                                                                                                                                                   | e is identified below, the                                                                                                                   | document has been filed for                                                                                                                             |  |
| Please check the appropri                                                                                                                                             | iate assignee category or                                                                                                                                                        | categories (will not be p                                                                                                      | rinted on the patent):                                                                                                                                                                                                                                                          | Individual 🗖 Con                                                                                                                                                                                                                                                                                      | poration or other private g                                                                                                                  | roup entity Government                                                                                                                                  |  |
| 4a. The following fee(s) are submitted:    Issue Fee   Publication Fee (No small entity discount permitted)   Advance Order - # of Copies                             |                                                                                                                                                                                  |                                                                                                                                | b. Payment of Fee(s): (Ples A check is enclosed. Payment by credit car The Director is hereby overpayment, to Depo                                                                                                                                                              | d. Form PTO-2038                                                                                                                                                                                                                                                                                      | is attached.                                                                                                                                 | eshown above)  eficiency, or credit any an extra copy of this form).                                                                                    |  |
|                                                                                                                                                                       | s SMALL ENTITY state                                                                                                                                                             | is. See 37 CFR 1.27.                                                                                                           |                                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                       | . ENTITY status. See 37 C                                                                                                                    |                                                                                                                                                         |  |
| interest as shown by the                                                                                                                                              | records of the United Sta                                                                                                                                                        | tes Patent and Trademark                                                                                                       | Office.                                                                                                                                                                                                                                                                         | ne apprennt, a region                                                                                                                                                                                                                                                                                 | eres anomey or agent, or                                                                                                                     | the assignee or other party in                                                                                                                          |  |
| Authorized Signature                                                                                                                                                  |                                                                                                                                                                                  |                                                                                                                                |                                                                                                                                                                                                                                                                                 | Date                                                                                                                                                                                                                                                                                                  |                                                                                                                                              |                                                                                                                                                         |  |
| Typed or printed name                                                                                                                                                 |                                                                                                                                                                                  |                                                                                                                                |                                                                                                                                                                                                                                                                                 | Registration No                                                                                                                                                                                                                                                                                       |                                                                                                                                              |                                                                                                                                                         |  |
| This collection of inform<br>an application. Confident<br>submitting the complete<br>this form and/or suggesti<br>Box 1450, Alexandria, V<br>Alexandria, Virginia 223 | ation is required by 37 C<br>tiality is governed by 35<br>I application form to the<br>ions for reducing this but<br>firginia 22313-1450. DC<br>13-1450.                         | FR 1.311. The informatic<br>U.S.C. 122 and 37 CFR<br>USPTO. Time will vary<br>rden, should be sent to the<br>ONOT SEND FEES OR | on is required to obtain or a<br>1.14. This collection is est<br>depending upon the individe Chief Information Office<br>COMPLETED FORMS To                                                                                                                                     | etain a benefit by the<br>imated to take 12 mi<br>idual case. Any con<br>er, U.S. Patent and T<br>D THIS ADDRESS.                                                                                                                                                                                     | public which is to file (ar<br>inutes to complete, includi<br>iments on the amount of t<br>rademark Office, U.S. De<br>SEND TO: Commissioner | nd by the USPTO to process)<br>ng gathering, preparing, and<br>ime you require to complete<br>partment of Commerce, P.O.<br>for Patents, P.O. Box 1450, |  |

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| 09/693,621                                                  |      | 10/20/2000  | Eitan T. Wiener      | END0691/2640/0H058 8869 |                  |
| 27777                                                       | 7590 | 09/30/2008  |                      | EXAM                    | IINER            |
| PHILIP S. JOHNSON                                           |      |             | DOUGLAS,             | STEVEN O                |                  |
| JOHNSON & JOHNSON                                           |      |             | ART UNIT             | PAPER NUMBER            |                  |
| ONE JOHNSON & JOHNSON PLAZA<br>NEW BRUNSWICK, NJ 08933-7003 |      |             | 3771                 |                         |                  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 1224 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 1224 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

# Notice of Allowability

| Application No. | Applicant(s)  |  |
|-----------------|---------------|--|
| 09/693,621      | WIENER ET AL. |  |
| Examiner        | Art Unit      |  |
| (0)             | 0774          |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-

| All claims being allowable, PROSECUTION ON THE MERITS IS (OR REM<br>perewith (or previously mailed), a Notice of Allowance (PTOL-85) or other a<br>NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. T<br>If the Office or upon petition by the applicant. See 37 CFR 1.313 and MPE | IAINS) CLOSED in this application. If not included<br>appropriate communication will be mailed in due course. THIS<br>his application is subject to withdrawal from issue at the initiati-                                                                                                                                                                                                                                                                                                                |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| . This communication is responsive to papers filed 2/21/08.                                                                                                                                                                                                                               |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| 2. ☑ The allowed claim(s) is/are <u>1-14</u> .                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| B.                                                                                                                                                                                                                                                                                        | neived.  selved in Application No  have been received in this national stage application from the munication to file a reply complying with the requirements is application.  ethe attached EXAMINER'S AMENDMENT or NOTICE OF (s) why the oath or declaration is deficient.  Initted.  int Drawing Review ( PTO-948) attached hent / Comment or in the Office action of white or the drawings in the front (not the back) of according to 37 CFR 1.121(d).  DLOGICAL MATERIAL must be submitted. Note the |
| Attachment(s) .                                                                                                                                                                                                                                                                           | 5. ☐ Notice of Informal Patent Application                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| 2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)                                                                                                                                                                                                                              | Interview Summary (PTO-413),     Paper No./Mail Date                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <ol> <li>Information Disclosure Statements (PTO/SB/08),<br/>Paper No./Mail Date 08012008</li> </ol>                                                                                                                                                                                       | 7. X Examiner's Amendment/Comment                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| Examiner's Comment Regarding Requirement for Deposit of Biological Material                                                                                                                                                                                                               | 8.   Examiner's Statement of Reasons for Allowance                                                                                                                                                                                                                                                                                                                                                                                                                                                        |
| or biological material                                                                                                                                                                                                                                                                    | 9. Other                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|                                                                                                                                                                                                                                                                                           | ·                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

Application/Control Number: 09/693,621

Art Unit: 3771

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

The application has been amended as follows:

In the specification on page 12, line 10: delete "FIG. 3" and substitute - - FIG. 3a and 3b - -.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to /Steven O. Douglas/ whose telephone number is (571) 272-4885. The examiner can normally be reached on Mon-Thurs 6:30-5:00.

The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3771

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Steven O. Douglas/ Primary Examiner Art Unit 3771

SD 9/25/08